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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CHM-009
First Inventor	Michael John Rutter
Title	Tracheotomy Valve Unit
Express Mail Label No.	EL966243489US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status – See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [ Total Pages <b>18</b> ] (preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <b>6</b> ]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [ Total Pages <b>1</b> ]           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 Completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p>		
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p>		
<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)           <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney</li> </ul> </p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO-SB08 or SB-1449)           <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> </p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.

Prior Application information:    Examiner:    Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	38155 (insert Customer Number or attach bar code label here)
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Name (print/type)	Donald E. Hasse	Registration No. (Attorney/Agent)	29,387
Signature		Date	December 15, 2003

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U.S.P.T.O.

# FEE TRANSMITTAL

(Patent Fees are subject to annual revision)

Applicant claims small entity status. (See 37 CFR 1.27)

TOTAL AMOUNT OF PAYMENT \$430.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Michael John Rutter
Examiner Name	

Art Unit

Attorney Docket No. CHM-009

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit Account Number

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above identified deposit account

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Codes	Fee Description	Paid
1051	2051	2051	Surcharge - late filing fee or oath	<input type="checkbox"/>
1052	2052	2052	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/> <input type="checkbox"/>
1053	1053	1053	Non-English specification	<input type="checkbox"/> <input type="checkbox"/>
1812	1812	1812	Filing a request for ex parte reexamination	<input type="checkbox"/>
1804	1804	1804	* Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>
1805	1805	1805	Requesting publication of SIR after Examiner action	<input type="checkbox"/>
1251	2251	2251	Extension for reply within first month	<input type="checkbox"/>
1252	2252	2252	Extension for reply within second month	<input type="checkbox"/> <input type="checkbox"/>
1253	2253	2253	Extension for reply within third month	<input type="checkbox"/> <input type="checkbox"/>
1254	2254	2254	Extension for reply within fourth month	<input type="checkbox"/> <input type="checkbox"/>
1255	2255	2255	Extension for reply within fifth month	<input type="checkbox"/> <input type="checkbox"/>
1401	2401	2401	Notice of Appeal	<input type="checkbox"/> <input type="checkbox"/>
1402	2402	2402	Filing a brief in support of an appeal	<input type="checkbox"/> <input type="checkbox"/>
1403	2403	2403	Request for oral hearing	<input type="checkbox"/> <input type="checkbox"/>
1451	1451	1451	Petition to institute a public use proceeding	<input type="checkbox"/> <input type="checkbox"/>
1452	2452	2452	Petition to revive - unavoidable	<input type="checkbox"/> <input type="checkbox"/>
1453	2453	2453	Petition to revive - unintentional	<input type="checkbox"/> <input type="checkbox"/>
1501	2501	2501	Utility issue fee (or reissue)	<input type="checkbox"/> <input type="checkbox"/>
1502	2502	2502	Design issue fee	<input type="checkbox"/> <input type="checkbox"/>
1503	2503	2503	Plant issue fee	<input type="checkbox"/> <input type="checkbox"/>
1460	1460	1460	Petitions to the Commissioner	<input type="checkbox"/> <input type="checkbox"/>
1807	1807	1807	Processing fee under 37 CFR 1.17(q)	<input type="checkbox"/> <input type="checkbox"/>
1806	1806	1806	Submission of Inform. Discl. Statement	<input type="checkbox"/> <input type="checkbox"/>
8021	8021	8021	Recording each patent assignment	<input type="checkbox"/> <input type="checkbox"/>
1809	2809	2809	Filing a submission after final rejection (37 CFR 1.29(a))	<input type="checkbox"/> <input type="checkbox"/>
1810	2810	2810	For each additional invention to be examined (37 CFR 1.129(b))	<input type="checkbox"/> <input type="checkbox"/>
1801	2801	2801	Request for Continued Examination (RCE)	<input type="checkbox"/> <input type="checkbox"/>
1802	1802	1802	Request for expedited examination of a design application	<input type="checkbox"/> <input type="checkbox"/>

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

Subtotal (3) (\$ 0.00)

## SUBMITTED BY

Complete (if applicable)

Name (print/type)	Donald E. Hasse	Registration No.	29,387	Telephone	513-229-0383
Signature				Date	December 15, 2003